NATIONAL MARITIME SERVICES

M/Y AMADEA
YACHT BROKER VESSEL VIEWING REQUEST

Individual Broker Name	
Broker's Employer	
Website	
Broker E-Mail Address	
Broker Mail Address	
Broker Telephone	

Internal approval of broker registration package (registration form and additional required items) is necessary to schedule vessel viewing. Broker Registration Form is not intended for Ultimate Beneficial Owner (UBO) or bidder entity registration.

Broker represents and warrants that Broker is not subject to any official sanctions or prohibitions on dealings imposed by the United States, the United Kingdom, the European Union, or any other relevant jurisdiction.

BROKER SIGNATURE ______________________________ DATE __________________

Additional Required Items

- Copy of valid passport or national identity card
- Broker's resume/Curriculum Vitae (CV) evidencing involvement in prior yacht sale(s) larger than 30 meters/100 feet LOA or receipt of a UBO's first delivered signed bidder registration form designating individual broker as its representative
- Signed Vessel Visitor Acknowledgement and Waiver M/Y Amadea

Broker Yacht Viewing Procedure[1]

- All visitors must be pre-approved to view M/Y AMADEA
- Viewings must be scheduled in advance
- Each visitor is required to provide a signed Visitor Acknowledgement and Waiver
- Visitors must be escorted at all times while onboard M/Y AMADEA
- Viewings are limited to touring the yacht and visually inspecting its opened areas
- Visitors must be at least 18 years of age
- Personal photographs and video recordings are prohibited
- Technical photographs and video recordings are permitted with consent of escort
- Posting photographs or video recordings (including social-media platforms) is prohibited

[1] Individual Broker's breach of the Broker Yacht Viewing Procedure will result in automatic disqualification of Individual Broker's registration and related commission.

SUBMIT COMPLETED FORM & ADDITIONAL REQUIRED ITEMS TO
AMADEAAUCTION@NATMARS.COM

Auction Administered by

NATIONAL MARITIME SERVICES

AMADEA AUCTION
INDIVIDUAL & ENTITY DUE DILIGENCE POLICY

National Maritime Service, Inc.'s ("NMS") internal approval of various auction participants is required to ensure compliance with U.S. Department of Treasury Financial Crimes Enforcement Network, Bank Secrecy Act, Foreign Corrupt Practices Act, Anti-Money Laundering and Countering the Financing of Terrorism, International Know Your Customer and International Sanctions clearance requirements ("Policies"). Approvals under this policy are required for each potential ultimate beneficial owner, auction bidder, Amadea buyer, and third-party yacht broker (collectively "Applicable Parties").

Other interested parties, including NMS' contractor, Fraser Yachts (Promotional Agent), United States Marshals Service (Seller), and Alley, Maass, Rogers & Lindsay, P.A. (Escrow Agent), and any of their banking institutions (collectively with NMS "Policy Administrators") may also require internal approval of Applicable Parties. Policy Administrators will share collected information and approvals with each other.

The following information may be required from each Applicable Party at the request of Policy Administrators:

Entities, such as auction bidders, buyers, and participating third-party yacht brokers

- If the ownership structure of the subject entity involves multiple companies/entities, an organizational chart depicting all entities in the ownership structure, starting at the bidder entity or buyer entity level through the ultimate beneficial owner, signed and stamped by a Director of the applicable bidder entity or buyer entity
- Applicable certificates of incorporation, memorandum or articles of association, and bylaws, confirming the legal registration and formation of each entity that comprises the ownership structure
- Register of shareholders, listing the names, ownership percentage, and country of domicile of the current owners for each entity that comprises the ownership structure
- Register of directors, listing the names and country of domicile of the current directors for each entity that comprises the ownership structure

Individuals including ultimate beneficial owners, entity directors and individual shareholders

- Copy of valid passport or national identity card
- Proof of residential street address (such as driver license or electric bill)
- Declaration outlining the origin of wealth and the source of purchase funds

This is not an exhaustive list. Policy Administrators may request additional information from Applicable Parties, as applicable under the circumstances.

Applicable Parties are also required to represent and warrant that they are not subject to any official sanctions or prohibitions on dealings imposed by the United States of America, the United Kingdom, or the European Union or any other relevant jurisdiction.